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U.S.PTO

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): Lionel C. Kimerling, Shoji Akiyama, Kazumi Wada

For (title): WAVEGUIDE-TO-SEMICONDUCTOR DEVICE COUPLER

1. Type of Application

Utility
 Design

2. Small Entity

Yes
 No

3. Benefit of Prior U.S. Application(s) Under 35 U.S.C. §120

This application is a:

Divisional
 Continuation
 Continuing Patent Application (CPA) under 37 C.F.R. §1.53(d)
 Continuation-in-part (CIP),

and hereby claims benefit under 35 U.S.C. §120 to the following applications:

| SERIAL NUMBER | FILING DATE |
|---------------|-------------|
| | |

4. Benefit of Non-U.S. Application Under 35 U.S.C. §119(a)-(d)

This application claims priority under 35 U.S.C. §119(a)-(d) to the following foreign application(s) and/or inventor certificate(s):

| COUNTRY | APPLN. NUMBER | FILING DATE |
|---------|---------------|-------------|
| None | | |

Certified copy(ies) of the application(s) and/or inventor certificate's from which priority is claimed:

is(are) attached;
 will follow.

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on December 11, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV303918170US addressed to the: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Emily C. Porell

031356 U.S. PTO
10/733977



5. **Benefit of Provisional Application Under 35 U.S.C. §119(e)**

This application claims priority to the following provisional application(s):

| SERIAL NUMBER | FILING DATE |
|---------------|-------------|
| 60/434,221 | 12/17/2002 |

6. **Papers Enclosed Which Are Required For Filing Date Under 37 C.F.R. §1.53**

11 Pages of Specification, including claims and abstract

6 Sheets of Drawing

7. **Additional Papers Enclosed**

Declaration and Power of Attorney
 Preliminary Amendment
 Information Disclosure Statement (37 CFR 1.98), Form PTO-1449 and a copy of each cited reference
 Assignment and Form PTO-1595
 Declaration of Biological Deposit
 Submission of "Sequence Listing" computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequences.
 Other _____

8. **Application Filing Fee Calculation**

A. Utility Application

FEE CALCULATION:

Total Claims: 16 - 20 = 0 × \$18 = \$

Independent Claims: 2 - 3 = 0 × \$86 = \$

Basic Fee:\$770.00

Multiple-Dependent-Claim Fee :\$

Total of the Above Calculations:\$770.00

Amendment canceling extra claims enclosed.
 Amendment deleting multiple dependencies enclosed.
 Fee for extra claims is not being paid at this time.

B. Design application - \$320 \$

Application Filing Fee Sub-Total\$

C. Less 50% reduction for small entity.....\$385.00

D. Non-English Specification - \$130.....\$

TOTAL FILING FEE\$385.00

9. **Payment**

Enclosed

Check in the amount of the Total Filing Fee set forth above.

Charge Account No. 19-0079 in the amount of Total Filing Fee set forth above. A duplicate of this transmittal is attached.

Not Enclosed

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§1.16 and 1.17 that may be required by this paper or any paper filed in connection with this Patent Application, or refund any overpayment to our Deposit Order Account No. 19-0079.

Respectfully submitted,



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